



RCE/2811  
#11 RCE.  
If Refused  
7/2/03

PTO/SB/30 modified  
PATENT

<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b>	Application Number: 09/930,365 Filing Date: Aug. 15, 2001 First Named Inventor: TAKEUCHI Group Art Unit: 2811 Examiner Name: Vu, Quang D. Atty Docket Number: 15.45/6059
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This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. **NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000.**

**1. Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_
  - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
  - ii. ☐ Affidavit(s)/Declaration(s)
  - iii. ☐ Information Disclosure Statement (IDS)
  - iv. ☐ Other \_\_\_\_\_

**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Fee of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(i) is enclosed.)
- b. ☐ Other \_\_\_\_\_

**3. Fees**

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0585.
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
  - ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
  - iii. ☐ Other \_\_\_\_\_
- b. ☒ Checks in the amount of \$750 (RCE) and \$72 (additional claims fee) are enclosed. If necessary for consideration of this RCE and Amendment, please charge any necessary fees, or credit any overpayments, to Deposit Account No. 50-0585.
- c. ☐ Payment by credit card (Form PTO-2038 enclosed.)

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED**

Name (print/type) Alan S. Raynes	Registration No. 39,809
Signature <i>Alan S. Raynes</i>	Date: June 16, 2003

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on: June 16, 2003.

Name (print/type) Alan S. Raynes
Signature <i>Alan S. Raynes</i> Date: June 16, 2003

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06/18/2003 CNGUYEN 00000050 0993365

750.00 OP  
72.00 OP

01 FC:1801  
02 FC:1202

In re the Application of  
TAKEUCHI

Serial No.: 09/930,365

Filing Date: Aug. 15, 2001

For: METHODS FOR MANUFACTURING  
SEMICONDUCTOR DEVICES AND  
SEMICONDUCTOR DEVICES HAVING  
TRENCH ISOLATION REGIONS



Group Art Unit: 2811

Examiner: Vu, Quang D.

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Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment and Request for Continued Examination (RCE) in the above-identified application.

Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA RATE		ADDIT. FEE	OR	RATE		ADDIT. FEE
TOTAL	35	MINUS	31	=	4	x	\$9	OR	x 18	\$72	
INDEP CLAIMS	4	MINUS	5	=	0	x	\$42	OR	x 84	\$0	
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+	\$	OR	+ 270	\$0	
					TOTAL		\$0	OR	TOTAL	\$72	

Please charge Deposit Account No. 50-0585 the amount of \$ to cover the extension fee and also the amount of \$ to cover the claim fee. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 750 to cover the RCE fee is enclosed.

☒ A check in the amount of \$ 72 to cover the filing fee for additional claims is enclosed.

☐ A check in the amount of \$ to cover the petition fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. No. 50-0585. A duplicate of this sheet is enclosed.

☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

*Alan S. Raynes*

Alan S. Raynes

Registration No. 39,809

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Dated: June 16, 2003

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*Alan S. Raynes*  
Alan S. Raynes

*June 16, 2003*  
Date